

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

|  |               |
|--|---------------|
| Attorney Docket No.                            | 09792909-5171 |
| First Named Inventor or Application Identifier |               |
| Toshiharu Yanagida                             |               |
| Express Mail Label No: EL281568829US           |               |

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

|   |   |             |    |
|---|---|-------------|----|
| 1. <input checked="" type="checkbox"/>  | Specification   | Total Pages | 54 |
| <input checked="" type="checkbox"/>   | Drawing(s) (35USC 113)  | Total Pages | 12 |
| 3. <input checked="" type="checkbox"/>  | Declaration and Power of Attorney   | Total Pages | 2  |
| a. <input type="checkbox"/> Unexecuted(original or copy)  |   |             |    |
| b. <input checked="" type="checkbox"/> Copy from prior application (37CFR 1.63(d))<br>(for continuation/divisional with Box 14 completed)                               |   |             |    |
| [Note Box 4 Below]  |   |             |    |
| i. <input type="checkbox"/> DELETION OF INVENTOR(S)<br>Signed statement attached deleting Inventor(s) named in the prior application see 37 CFR 1.63(d)(2) and 1.33(b). |   |             |    |
| <input checked="" type="checkbox"/>   | Incorporation By Reference (usable if Box 3b is checked)<br>The entire disclosure of the prior application, from which copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. |             |    |

ACCOMPANYING APPLICATION PARTS

|   |  |  |
|---|--|--|
| 5. <input checked="" type="checkbox"/>  | Assignment Papers (cover sheet & documentation)<br>SONY CORPORATION  |  |
| 6. <input type="checkbox"/>             | Letter under 37 CFR 1.41(c).   |  |
| 7. <input type="checkbox"/>             | English Translation Document (if applicable)   |  |
| 8. <input type="checkbox"/>             | Information Disclosure Statement (IDS)/PTO-1449  | <input type="checkbox"/> Copies of IDS Citations |
| 9. <input checked="" type="checkbox"/>  | Preliminary Amendment  |  |
| 10. <input checked="" type="checkbox"/> | Return Receipt Postcard (MPEP 503)<br>(Should be specifically itemized)  |  |
| 11. <input type="checkbox"/>            | Small Entity Statement filed in prior Application, Status still proper and desired   | <input type="checkbox"/>                         |
| 12. <input checked="" type="checkbox"/> | Copy of cover page of Priority Japanese Patent Application Nos: P10-247393, filed 9/1/98 and P11-146942, filed May 26, 1999. |  |

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☒ Divisional Continuation-in-part (CIP) ☐ of prior application No: 09/385,959; August 30, 1999

CLAIMS AS FILED

| (1) FOR   | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE  | (5) BASIC FEE |
|---|------------------|------------------|-----------|---------------|
|   |                  |                  |           | \$710.00      |
| TOTAL CLAIMS 20   | 6                | 0                | \$18      | \$0           |
| INDEPENDENT CLAIMS 03   | 1                | 0                | \$80      | \$0           |
| ANY MULTIPLE DEPENDENT CLAIMS?<br><input type="checkbox"/> YES <input checked="" type="checkbox"/> NO |                  |                  |           | \$0           |
|   |                  |                  | TOTAL FEE | \$710         |

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$710.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: Christopher P. Rauch  
SONNENSCHN NATH & ROSENTHAL  
P.O. Box #061080  
Wacker Drive Station, Sears Tower  
Chicago, IL 60606-1080

Telephone: (312) 876-8000  
Fax (312) 876-7934

SIGNATURE: Christopher P. Rauch (No. 45,034) DATE: July 25, 2001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Toshiharu Yanagida ATTORNEY DOCKET NO:  
09792909-5171

SERIAL NO. GROUP ART UNIT:

FILING DATE: EXAMINER:

INVENTION: "SEMICONDUCTOR APPARATUS AND PROCESS OF  
PRODUCTION THEREOF"

which is a Divisional of copending Application of the following U.S. Application

APPLICANT: Toshiharu Yanagida OLD ATTORNEY DOCKET NO:  
P99,1318

SERIAL NO: 09/385,959 NEW ATTORNEY DOCKET NO:

FILING DATE August 30, 1999 09792909-4298

GROUP ART UNIT: 2812

EXAMINER: D. Graybill


INVENTION: "SEMICONDUCTOR APPARATUS AND PROCESS OF  
PRODUCTION THEREOF"

**EXPRESS MAIL CERTIFICATE OF MAILING**

Express Mail" Mailing Label Number EL281568829US  
Date of Deposit July 25, 2001

I hereby certify that the following items are being deposited with the United States Postal Services "Express Mail Post Office to Addressee" Service Under 37 CFR 1.10 on the date indicated above and is addressed to The Assistant Commissioner of Patents and Trademarks, Box Patents, Washington, D.C. 20231:

1. Divisional Patent Application Transmittal
2. Filing Fee \$710
3. Specification (54 Pages)
4. Drawings (12 Sheets)
5. Preliminary Amendment
6. Copy of Declaration
7. Copy of Assignment
8. Copy of Recordation of Assignment
9. Copy of Cover Page of Japanese Priority Application Nos: P10-247393 & P11-146942
10. Postcard
11. Express Certificate of Mailing No: EL281568829US

  
Signature of Person Mailing Application

09915160-072501